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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

| OMB APPROVAL                                     |                |
|--|----------------|
| OMB Number:                                      | 3235-0123      |
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SEC  
Mail Processing Section  
**ANNUAL AUDITED REPORT**  
**FORM X-17A-5**  
**PART III**  
MAR 01 2016

| SEC FILE NUMBER |
|-----------------|
| 8- <i>AKB</i>   |

FACING PAGE Washington DC

**Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

*12/31/2*

REPORT FOR THE PERIOD BEGINNING 01/01/15 AND ENDING 12/31/15  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: Ascensus Broker Dealer Services, Inc.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

95 Wells Avenue; Suite 160

(No. and Street)

Newton

(City)

Massachusetts

(State)

02459

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

John Mulkern

617-631-1570

(Area Code - Telephone Number)

SEC-MAL YC 10  
2015 YEAR 04  
**OFFICIAL USE ONLY**  
FIRM I.D. NO.

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

BDO USA, LLP

(Name - if individual, state last, first, middle name)

1801 Market Street, Suite 1700

(Address)

Philadelphia

(City)

PA

(State)

19109

(Zip Code)

**CHECK ONE:**

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

**FOR OFFICIAL USE ONLY**

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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OATH OR AFFIRMATION

I, John J. Mulkern, Jr., swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Ascensus Broker Dealer Services, Inc., as of December 31, 2015, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:



CINDY LAM-YEE  
NOTARY PUBLIC  
Commonwealth of Massachusetts  
My Commission Expires  
February 25, 2022

[Signature]  
Notary Public

[Signature]  
Signature  
Chief Compliance Officer  
Title

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**ASCENSUS BROKER DEALER SERVICES, INC.**

**(An Indirect Wholly Owned Subsidiary of AqGen Ascensus, Inc. formerly JCF  
Ascensus Holdings, Inc.)**

Financial Statements and Supplemental Schedules  
Pursuant to Securities and Exchange Commission Rule 17a-5.

As of and For the Year Ended December 31, 2015

*(With Report of Independent Registered Public Accounting Firm Thereon)*

**ASCENSUS BROKER DEALER SERVICES, INC.**  
(An Indirect Wholly Owned Subsidiary of AqGen Ascensus, Inc. formerly JCF Ascensus Holdings, Inc.)

Statement of Financial Condition

December 31, 2015

|  |                     |
|--|---------------------|
| <b>Assets</b>  |                     |
| Cash   | \$ 3,347,427        |
| Restricted cash  | 500,000             |
| Accounts receivable  | 1,400,139           |
| Capitalized contract acquisition costs, net of accumulated amortization of \$1,065,750 | 404,250             |
| Prepaid expenses   | 409,043             |
| Membership in exchanges owned, at adjusted cost  | 3,000               |
| Deferred tax asset   | 31,442              |
| Tax benefit receivable from Holdings   | 556,905             |
| Total assets   | <u>\$ 6,652,206</u> |
| <br><b>Liabilities and Stockholder's Equity</b>  |                     |
| Due to related parties, net  | \$ 1,494,101        |
| Accrued expenses   | 422,627             |
| Total liabilities  | <u>1,916,728</u>    |
| <br>Commitments and contingencies (Note 7)   |                     |
| Common stock, \$0.01 par; 100 shares authorized, issued and outstanding                | 1                   |
| Additional Paid-In-Capital   | 1,675,676           |
| Retained Earnings  | 3,059,801           |
| Total stockholder's equity   | <u>4,735,478</u>    |
| Total liabilities and stockholder's equity   | <u>\$ 6,652,206</u> |

*See accompanying notes to the financial statements*

February 29, 2016

Securities & Exchange Commission  
Registrations Branch  
100 F. Street NE – MS 8031  
Washington, DC 20549

SEC  
Mail Processing  
Section  
MAR 01 2016  
Washington DC  
416

**RE: Annual Audited Financials for Ascensus Broker Dealer Services, Inc.  
(CRD #36478) for year ending December 31, 2015**

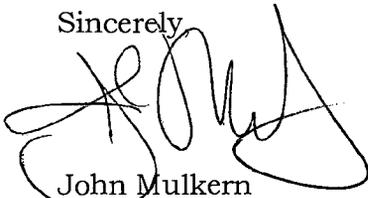
Dear Sir/Madam:

Enclosed please find the portion of Ascensus Broker Dealer Services, Inc.'s annual audited financials that will not require confidentiality treatment.

We will send the remaining portion of the audited financials, under separate cover where we will request Confidential Treatment.

Please contact me at 617-631-1570 with any questions.

Sincerely



John Mulkern  
Financial and Operations Principal